NYS FROI/SROI-02 Transaction Processing in Claims EDI R3.1

Revised 4/5/2023

The New York State Workers' Compensation Board (Board) transitioned on 1/24/2022 to the International Association of Industrial Accident Boards and Commissions (IAIABC) Claims Electronic Data Interchange Release 3.1 (Claims EDI 3.1) standard. This document details processing changes in both the First Report of Injury (FROI) and Subsequent Report of Injury (SROI) for FROI/SROI-02 transactions.

1. Differences between EDI R3.0 to EDI R3.1

FROI/SROI-02 transactions are processed differently in the IAIABC's Claims EDI R3.1 standard compared to R3.0. The table below shows the main differences.

EDI R3.0	EDI R3.1
All DNs with a Severity Code of 'F,' 'fy,' and 'Y' are edited (using the Severity Code of the most recently accepted Maintenance Type Code [MTC]) and accepted.	Only Implied/Usable DNs (see definition below) are edited and accepted.
All values on *02* transactions are assumed to be the latest value regardless of each DN's value in the most recently accepted transaction.	Only Implied/Usable DNs will be considered to have the latest value in the *02* transaction.
Add, Update, or Delete is not specified.	Change Reason Code indicates Add (A), Update (U), R (Remove), or Delete (D).

2. Acceptance method

IAIABC Section 4 Change 02 Claims EDI R3.1 rules as approved in IRR897 on December 11, 2019, and published in the *IAIABC Claims R3.1 Implementation Guide* on January 1, 2021, offer two methods of accepting an 02-Change transaction.

ONE: Accepting data of only those DNs that are specified in the Change Variable Segment, after applying edits.

TWO: Accepting data of all DNs that are included in the 02-Change transaction after applying appropriate edits.

New York has decided to go with method **one** above.

2a. Implied/Usable Data Element Names (DNs)

Upon further investigation, the Board determined that the edits will be applied to **Implied/Usable DNs**, which include the following:

I. DNs that are listed in the Change Variable Segment.

II. Variable Segment DNs that have their counter ('Number Of' DN) listed in the Change Variable Segment.

III. All DNs with 02-Requirement Code of '**F**' (Fatal), '**m**' (Mandatory Match Data Element), '**mc**' (Mandatory Conditional Match Data Element), or '**M**' (Mandatory). Editing of Implied/Usable DNs means that the Board will check for Fatal, Mandatory, and Mandatory Conditional requirements, and Population Restrictions. (Note: Population Restrictions on the counter DN ['Number Of' DN] will only be applied when the counter DN ['Number Of' DN] is in the Change Variable Segment.)

2b. Implementing Implied/Usable DNs

New York will implement method **one**, (listed under *Acceptance method,* page 1) for accepting FROI/SROI-02 transactions. 02-Change transactions will be accepted if the following criteria are met:

I. Implied/Usable DNs pass the edit specified in the *Applying edits on an 02-Change transaction* section below.

II. Transaction contains a valid/allowed DN0413 (Change Reason Code) for each DN specified in DN0412 (Change Data Element).

EXAMPLE: When a Variable Segment counter DN is received with a Change Reason Code of 'R,' or a non-Variable Segment DN is received with a Change Reason Code of 'D,' the 02-Change transaction will be rejected with error 123 (Invalid Change Reason Code Relationship).

III. Data Element Requirements will be applied to Implied/Usable DNs only, using the following steps to determine if the appropriate MTC was sent by the Claim Administrator in the past:

A. NON-VARIABLE SEGMENT DNS:

1. The Reportable Change Code will be used, ignoring 'NA' and 'X' in the *02* Requirement, as it is done for other MTCs. In other words, if the *02* Requirement says 'NA' but the Reportable Change Code is 'B' or 'N' for Change Reason Code (A/U/D/R), then the Board will reject the transaction.

2. The Current Severity Code of the MTC is based upon:

   a. The most recently accepted MTC other than *02* and 'RE' that does not have a Severity Code of 'X' or 'NA' for that Implied/Usable DN based upon the Severity Code at the time that transaction was accepted. Modifications to the Change 02 processing was implemented on 3/16/2023 to recognize data for both FROI and SROI regardless of which Change 02 MTC is being processed (FROI-02 or SROI-02). We are now looking at both FROI and SROI MTCs where the data element had a severity ranking other than 'X' or 'NA.'

   b. If no MTCs are found, then use the most recently accepted MTC other than *02* and 'RE' that does not have a Severity Code of 'X' or 'NA' for that DN based upon the current Severity Code (in other words, as if that transaction was processed right now). If the previously accepted transaction is one of the now-obsolete Suspension MTCs (e.g., S1, S3), then the system will check the current Severity Codes for the 'SX' MTC. Modifications to the Change 02 processing was implemented on 3/16/2023 to recognize data for both FROI and SROI regardless of which Change 02 MTC is being processed (FROI-02 or SROI-02). We are now looking at both FROI and SROI MTCs where the data element had a severity ranking other than 'X' or 'NA.'

   c. If none are found, then reject the transaction except when an *02* is received that changes any of the following DNs:
      - DN0196 (Denial Rescission Date)
      - DN0075 (Agreement to Compensate Code)

        EXAMPLE: An update is allowed on DN0193 (Suspension Effective Date) with 'U' (Update) on an 02 but it is only valid on the 'SX' and 'UR' MTCs (Upon Request) in R3.1. There is a possibility of the Board receiving an 02 with DN0193 (Suspension Effective Date) without receiving an 'SX/UR.' If our search did not find any MTC that was valid for this DN, then the Board will reject the transaction.

      - DN0069 (Pre-existing Disability Code)
      - DN0070 (Date of Maximum Medical Improvement)
      - DN0076 (Date Claim Administrator Notified of Employee Representation)
      - DN0201 (Anticipated Wage Loss Indicator)
      - DN0202 (Reduced Benefit Amount Code)
      - DN0212 (Non-Consecutive Period Code)
      - DN0172 (Estimated Gross Weekly Amount Indicator)
      - DN0293 (Lump Sum Payment/Settlement Code)
      - DN0286 (Average Wage)
      - DN0299 (Award Order Date)
      - DN0203 (Employer Paid Salary Prior to Acquisition Code)

3. The Mandatory Condition for **02**s will be applied to DNs whose value is being removed with the Change Reason Code 'R' and only if a non-02 MTC has ever been accepted that currently has a Data Element Requirement (DER) code of 'MC' or 'M.' The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now. Modifications to the Change 02 processing was implemented on 3/16/2023 to recognize data for both FROI and SROI regardless of which Change 02 MTC is being processed (FROI-02 or SROI-02). We are now looking at both FROI and SROI MTCs where the data element had a severity ranking other than "X" or "NA"

Scenarios considered for going with current DER code decision:

a. Some DER codes were changed from 'IA' to 'M' in R3.0 and will remain that way in R3.1
   (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).

b. Some DER codes will be changing from 'IA' to 'MC' for R3.1
   (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).

c. Some DER codes will be changing from 'M' to 'AR' for R3.1
   (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).

d. Some DER codes will be changing from 'MC' to 'AR' for R3.1
   (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).

e. Some DER codes will be changing from 'MC' to 'NA' for R3.1
   (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).

f. Some DER codes will be changing from 'MC' to 'X' for R3.1
   (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).

g. Some DER codes will be changing from 'X' to 'MC' for R3.1
   (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

EXAMPLE: DN0281 (Initial Date Employer Had Knowledge of Date of Disability) has a DER code of 'AR' for FROI-AQ and SROI-AC/AP, 'NA' for FROI-01 and SROI-SA/SU, and 'MC' for all other MTCs. If FROI-02 or SROI-02 was received any time after accepting a FROI or a SROI with a DER code of 'MC' (besides 02), then a Mandatory Condition on DN0281 will be applied. Otherwise, a Mandatory Condition on DN0281 will not be applied.

B. VARIABLE SEGMENT DNS:

1. The Severity Code will be determined using the same steps specified in the non-Variable Segment section above, except when determining the most recently accepted MTC (see page 2, **Non-Variable Segment DNs,** 1 & 2). All DNs within the Variable Segments are evaluated, but we will consider it having a match if any of those DNs have a Severity Code other than 'NA' or 'X.'

2. If the MTC is found in the above search, then the Board will apply the current Severity Code for all DNs from that MTC, including 'NA' and 'X.'

EXAMPLE: A SROI-02 was received as the first R3.1 transaction with DN0424 (Number of Dependent/Payee Relationship) and the most recently accepted MTC in our search was SROI-CA, which was received in R3.0 and has a current Severity Code of 'AR' for DN0097 (Dependent/Payee Relationship Code). Since all the other DNs did not exist in R3.0, the current Severity Code of 'CA' MTC will be applied to all new DNs (e.g., DN0428 [Dependent Gender Code] has 'NA' so it will be ignored).

Example of Variable Segment DNs

On FROI, SROI, Both	REC	DN# Dependent /Payee Relation-ships	DATA ELEMENT NAME	FORMAT	02 Reportable Change				04	AC	AP	CA	CB	CD	EP	ER	IP	PD	PY	RB	SX	SU	UR Upon Request (Grand-fathered)	SA
SROI	R22	0097	Dependent/ Payee Relationship Code	2 A/N	MC4 24 H	H	H	B	AR	NA	MC	AR	MC	X	MC	MC	MC	AR	MC	MC	MC	MC	MC	NA
SROI	R22	0425	Dependent First Name	15 A/N	MC4 24 H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA
SROI	R22	0426	Dependent Last Name	40 A/N	MC4 24 H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA
SROI	R22	0427	Dependent Date of Birth	DATE	MC4 24 H	H	H	B	MC	NA	MC	MC	MC	X	MC	MC	MC	MC	MC	MC	MC	MC	MC	NA
SROI	R22	0428	Dependent Gender Code	1 A/N	NA N	N	N	B	NA	NA	NA	NA	NA	X	NA	NA	NA	NA	NA	NA	X	NA	NA	NA
SROI	R22	0429	Dependent Extent of Dependency	1 A/N	NA N	N	N	B	NA	NA	NA	NA	NA	X	NA	NA	NA	NA	NA	NA	X	NA	NA	NA

3. The Mandatory Conditions will be applied to all DNs within the Variable Segment being changed with *02* Reportable Change Code of A/U/D and only if a non-02 MTC (FROI/SROI depending upon which *02* was received) has ever been accepted that currently has a DER code of 'MC' or 'M' for that DN. The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.

Scenarios considered for going with the current DER code decision:

a. Some DER codes were changed from 'IA' to 'M' in R3.0 and will remain that way in R3.1 *(for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).*

b. Some DER codes will be changing from 'IA' to 'MC' for R3.1 *(for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).*

c. Some DER codes will be changing from 'M' to 'AR' for R3.1 *(for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).*

d. Some DER codes will be changing from 'MC' to 'AR' for R3.1 *(for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).*

e. Some DER codes will be changing from 'MC' to 'NA' for R3.1 *(for example, DN0124 [Actual Reduced Earnings] on SROI-UR).*

f. Some DER codes will be changing from 'MC' to 'X' for R3.1 *(for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).*

g. Some DER codes will be changing from 'X' to 'MC' for R3.1 *(for example, DN0124 [Actual Reduced Earnings] on SROI-CA).*

EXAMPLE: DN0425 (Dependent First Name) has a DER code of 'NA' for SROI-AC/SA, 'X' for SROI-CD, 'MC424' for SROI-02, and 'MC' for all other SROIs (since this DN is only on SROIs). If SROI-02 was received any time after accepting a SROI with a DER code of 'MC' (besides 02), then a Mandatory Condition on DN0425 will be applied. Otherwise, a Mandatory Condition on DN0425 will not be applied.

C. POPULATION RESTRICTIONS:

Population Restrictions will be applied to the Implied/Usable DNs (Note: Population Restrictions on the counter DN ['Number Of' DN] will only be applied when the counter DN ['Number Of' DN] is in the Change Variable Segment), unless the Board ignores the value due to the DN's Severity Code (based upon the search result above) being 'X' or 'NA.'

1. Population Restrictions on MTC 02 that are specifically meant for enforcing Mandatory Conditions (which will all have error 064 with Element Error Text that includes "Data Elements missing in Change Variable Segment") will be applied to all dependent DNs that are being impacted by the DN being changed on the *02*, because of an existing Mandatory Condition on those dependent DNs. The exception to this rule is when such dependent DNs (regardless of whether dependent DN had a value) was never on a non-02 MTC (FROI/SROI depending upon which *02* was received) that had ever been accepted that currently has a DER code of 'MC' or 'M.' The DER code will be based on the current DER code of 'MC' or 'M' as if that MTC was processed right now.

   Scenarios considered for going with current DER code decision:

   a. Some DER codes were changed from 'IA' to 'M' in R3.0 and will continue to be in R3.1
   (for example, DN0075 [Agreement to Compensate Code] on SROI-AP/EP).

   b. Some DER codes will be changing from 'IA' to 'MC' for R3.1
   (for example, DN0144 [Current Date Disability Began] on SROI-ER/RB).

   c. Some DER codes will be changing from 'M' to 'AR' for R3.1
   (for example, DN0041 [Date Claim Administrator Had Knowledge of Injury] on FROI-AQ).

   d. Some DER codes will be changing from 'MC' to 'AR' for R3.1
   (for example, DN0281 [Initial Date Employer Had Knowledge of Date of Disability] on SROI-AP).

   e. Some DER codes will be changing from 'MC' to 'NA' for R3.1
   (for example, DN0124 [Actual Reduced Earnings] on SROI-UR).

   f. Some DER codes will be changing from 'MC' to 'X' for R3.1
   (for example, DN0066 [Full Wages Paid for Date of Injury Indicator] on SROI-CD).

   g. Some DER codes will be changing from 'X' to 'MC' for R3.1
   (for example, DN0124 [Actual Reduced Earnings] on SROI-CA).

   *EXAMPLE: DN0041 (Date Claim Administrator Had Knowledge of Injury) is being changed on a FROI-02, which impacts the Mandatory Condition on DN0025 (Industry Code), meaning DN0025 is the dependent DN in this example. DN0025 has a DER code of 'AR' for FROI-01/AQ, and 'MC' for all other FROIs. If the FROI-02 is received any time after accepting a FROI with a DER code of 'MC' (besides 02) for DN0025, then Population Restriction on DN0041-064-B for FROI-02 will apply condition for DN0025 as published. Otherwise, Population Restriction on DN0041-064-B for FROI-02 will **not** apply condition for DN0025.*

D. TRANSACTION PASSES SEQUENCING REQUIREMENT EDITS IN THE *NYS R3.1 EDIT MATRIX*.

3. Applying edits on an 02-Change transaction

I. New York will edit only Implied/Usable DNs. All other DNs will be ignored and they will not be considered as accepted data. Refer to *How to determine what DN values to use for cross editing* section for more information.

II. Get the list of DNs in the Change Variable Segment. If none are found, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

III. If there are duplicate DNs listed in DN0412 (Change Data Element) of the Change Variable Segment, then the transaction will be rejected with the appropriate error message. (See the *NYS R3.1 Edit Matrix, Population Restriction tab for DN0412, Error Message Number 064, Population Restriction B*).

IV. If any DNs that have an 02-Change transaction with a DER code of 'F' or 'm' and there is a blank or null value in the transaction, then the transaction will be rejected with error 001 (Mandatory field not present). If the DER code is 'mc' and the condition requires the value to be present, Mandatory Conditional Requirements will be applied and the transaction will be rejected if the DN value is blank or null.

V. The transaction will be rejected with error 124 (No recognizable change found) on DN0412 (Change Data Element/Segment Number) for the following conditions:

    A. A FROI only Data Element Number is identified on a SROI-02 Change.

    B. A SROI only Data Element Number is identified on a FROI-02 Change.

VI. The transaction will be rejected with error 124 (No recognizable change found) on DN0411 (Number of Change Data Elements) when no change is detected (provided no other valid change is detected in the segment) for the following conditions:

    A. When a non-Variable Segment DN is listed in the Change Variable Segment, then compare the value of that DN with the previously reported value. In the case of DN0270 (Employee ID Type Qualifier), also compare the actual Employee ID, e.g., DN0042 (Employee SSN). DN0075 (Agreement to Compensate Code) will be considered as a recognizable change on an 02-Change transaction with the same value as previously reported because of a medical-only claim being accepted after a denial. Refer to all Population Restrictions on match data elements returning new NY R3.1 Error 117 to apply this edit.

    B. When a Variable Segment counter DN is in the Change Variable Segment, then compare the values of all the DNs within that Variable Segment with the previously reported values of those DNs.

        1. Change in the order of the Variable Segment will be ignored and it will not be considered as a change.

        2. Based on the IAIABC's *Claims Release 3.1 Implementation Guide* Section 4, 02 Change Processing Rules and the Population Restrictions listed on the *NY R3.1 Edit Matrix*, benefit segments are edited to ensure that another MTC is not more appropriate. Benefit segments are special when comparing DN values to determine a change. Listed below are the DNs and whether they will or will not be compared:

VII. Steps for further editing are as follows:

    A. Check for any Variable Segment DNs listed in the Change Variable Segment, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.

DN#	DN Description	Compare
0085	Benefit Type Code	Yes
0002	Maintenance Type Code	No
0174	Gross Weekly Amount	Yes
0175	Gross Weekly Amount Effective Date	Yes
0087	Net Weekly Amount	Yes
0211	Net Weekly Amount Effective Date	Yes
0088	Benefit Period Start Date	Yes
0089	Benefit Period Through Date	Yes
0090	Benefit Type Claim Weeks	Yes
0091	Benefit Type Claim Days	Yes
0086	Benefit Type Amount Paid	Yes
0192	Benefit Payment Issue Date	Yes

    B. Loop through each DN that is in the Change Variable Segment that is not a counter DN.

        1. If the DN0413 (Change Reason Code) is 'R' (Remove) and there is a value in the DN, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with additional message "<DN#> being removed has values, blank required" and process the next DN.

        2. Find the Reportable Change Code for that DN and the Change Reason Code. If the Reportable Change Code is 'B' or 'N,' then reject the transaction with error 123 (Invalid Change Reason Code Relationship) and process the next DN; otherwise, continue to the next step.

3. If the Reportable Change Code is 'I' or 'IG,' then continue to the next step. The Board is not going to reject the transaction if it receives the change on both the FROI and SROI unless the second transaction has no other recognizable change identified.

4. If the Reportable Change Code is 'J' or 'JG,' then check if a SROI has already been received. If this is a FROI-02 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step. Note: New York does not have this Reportable Change Code listed on the **NYS R3.1 Element Requirements Table** at this time.

5. If the Reportable Change Code is 'K' or 'KG,' then check if this is a SROI-02 transaction, then reject the transaction with the appropriate error message and process the next DN; otherwise, continue to the next step.

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined under **Non-Variable Segment DNs**, page 2) will be applied here.

7. Apply all Population Restrictions defined for this DN/MTC and reject the transaction with the appropriate error message; otherwise, continue to the next step.

8. If DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update) and the value in the 02-Change transaction for the DNs (non-Variable Segment) listed in the Change Variable Segment is blank or null, then reject the transaction with error 001 (Mandatory field not present) and process the next DN; otherwise, continue to the next step.

C. Loop through each counter DN in the Change Variable Segment.

1. Apply the appropriate Population Restriction(s) on the counter DN.

2. If the counter DN is for a Narrative Variable Segment that includes the following DNs: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim), there will be an exception to the rule regarding how 'D' is used. Rather than expecting Change Reason Code 'D' only for situations where any Variable Segment is removed, the Board should expect 'D' for these only when all Variable Segments are removed. When the following DNs: DN0274 (Number of Accident/Injury Description Narrative), DN0276 (Number of Denial Reason Narrative), DN0287 (Number of Suspension Narrative), and DN0430 (Number of Narrative for Claim) are in the Change Variable Segment, then:

   a. If the Change Reason Code is 'A' or 'U,' then the value of the DNs must be greater than zero. If the Change Reason Code is 'A' or 'U' and the value of the Number of Narrative DNs is zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: *"<DN# of number of> must be > 0 when Change Reason Code is A/U."*

   b. If the Change Reason Code is 'D,' then the value of the Number of Narrative DNs must be zero. If the Change Reason Code is 'D' and the value of the Number of Narrative DNs is not zero, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: *"<DN# of number of> must be = 0 when Change Reason Code is D."*

   c. For these Number of Narrative DNs, the Board will be using the Reportable Change Code of the DN within the Variable Segment for the edit: DN0038 (Accident/Injury Description Narrative), DN0197 (Denial Reason Narrative), DN0233 (Suspension Narrative), and DN0431 (Narrative for Claim). The Board will edit them using the same approach as stated above (see page 6, VI., section B).

D. For all other counter DNs that are not for Narrative segment DNs, then:

1. If the DN0413 (Change Reason Code) is 'A' (Add) or 'U' (Update), the Variable Segment counter DNs listed in the Change Variable Segment must have more than zero segments. If not, then reject the transaction with error 123 (Invalid Change Reason Code Relationship) with the following additional message: *"<DN# of number of> value must be > 0 since DN0413=A/U."*

2. If the DN0413 (Change Reason Code) is 'A,' then the value in the 'Number of' DNs must be greater than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: *"<DN# of number of> value is < expected since DN0413=A."*

3. If the DN0413 (Change Reason Code) is 'U,' then the value in the 'Number of' DNs must be equal to the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: *"<DN# of number of> value is other than expected since DN0413=U."*

4. If the DN0413 (Change Reason Code) is 'D,' then the value in the 'Number of' DNs must be less than the previously accepted transaction. If not, then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: *"<DN# of number of> value is > expected since DN0413=D."*

5. If the 'Number of' DNs listed in DN0412 (Change Data Element) of the Change Variable Segment belongs to a Variable Segment that does not allow any change on any DNs of that Variable Segment, regardless of what the 02 Requirement Code is for that DN (i.e., 1) for Change Reason Code of 'A,' Reportable Change Code of 'B' or 'N' for all DNs, or 2) for Change Reason Code of 'U,' Reportable Change Code of 'B' or 'N' for all DNs, or 3) for Change Reason Code of 'D,' Reportable Change Code of 'B' or 'N' for all DNs), then the transaction will be rejected with error 123 (Invalid Change Reason Code Relationship) with the following additional message: *"<DN#> is not allowed for the change reason code <change reason code>."*
Examples:
   - *This applies to A/U/D/R for DN0411 (Number of Changed Data Element) and DN0434 (Number of Cancel Elements).*
   - *This applies to A/D/R for DN0283 (Number of Payments).*

6. The Severity Code of MTC 02 (02 Requirement) and current Severity Code from the previously accepted MTC (as defined on page 3, *Variable Segment DNs*) will be applied to each DN in the Variable Segment.

7. Apply all Population Restrictions defined for each DN in the Variable Segment/MTC. If any of the Population Restrictions fail, then reject the transaction with the appropriate error message; otherwise, continue to the next step.

4. How to determine what DN values to use for cross editing

I. Per the *IAIABC's Claims Release 3.1 Implementation Guide*, Section 4 Change 02 Rules Considerations for Requiring an 02 Change Rule #3, data not included in the 02 Change Variable Segment will not be used to apply cross edits to the data being changed on the 02-Change itself. The Implied/Usable DN on the 02-Change being processed will be used for editing.

II. Otherwise, for all editing (including incoming *02* transactions that need DN values from the database instead of *02* being processed) that requires the most recently accepted transaction, get the most recent accepted transaction for Lead/Link FROI Number that does not have a Severity Code of 'NA' or 'X' on MTCs other than *02* that were received on or after implementation of R3.1. For R3.1 *02*s, use Implied/Usable DN.

For more information, see the **eClaims Implementation Guide** and the **New York Requirement Tables**.

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